ECOLOGY OF MARINE TUCUXI, SOTALIA GUIANENSIS, AND BOTTLENOSE DOLPHIN, TURSIOPS TRUNCATUS, IN BAÍA NORTE, SANTA CATARINA STATE, SOUTHERN BRAZIL

PAULO A. C. FLORES 1, 2 * AND NELSON F. FONTOURA 3

ABSTRACT: The marine tucuxi (Sotalia guianensis) occurs in coastal waters of western Central and South America sympatric to the bottlenose dolphin (Tursiops truncatus) throughout its range. This paper presents information on ecology based on 228 marine tucuxi and 36 bottlenose dolphin sightings collected during 226 boat-based surveys conducted from 1993 to 2002 in Baía Norte (27º30’S and 48º31´W), Santa Catarina state, southern Brazil. Both species were found year round although seasonal occurrence, sighting indices, sightings per unit of effort as well as group size and composition were strongly different. These features were different for marine tucuxis but similar to bottlenose dolphins when compared to other areas. Marine tucuxis were only found in the western part of the bay while bottlenose dolphins occurred mostly in the eastern section. Distances between species’ sightings on the same days averaged 6.9km. Observations were made of both dolphin species feeding on the same fish species, of tucuxis different for marine tucuxis but similar to bottlenose dolphins when compared to other areas. Marine tucuxis were only found in sighting indices, sightings per unit of effort as well as group size and composition. Estes aspectos foram diferentes para o bote-cinza, mas similares para o golfinho-nariz-de-garrafa quando comparados a outras áreas. O bote-cinza foi encontrado apenas na porção oeste da baía, enquanto o golfinho-nariz-de-garrafa ocorreu principalmente no setor leste. A distância média entre locais de avistagens das duas espécies quando estas ocorreram no mesmo dia foi de 6.9km. Ambas espécies foram observadas capturando ou se alimentando de mesmas espécies de peixes. Em uma ocasião um grupo de bote-cinza se retirou de área previamente ocupada devido à aproximação de golfinhos-nariz-de-garrafa, enquanto em outra oportunidade um bote-cinza grande (presumivelmente adulto) foi observado e fotografado com profundas e extensas marcas de dentes potencialmente causadas por um ataque de golfinho(s)-nariz-de-garrafa. A segregação especial não parece claramente explicada pelos fatores ambientais analisados, sugerindo que aspectos biológicos como agressão inter-específica e/ou competição podem estar influenciando a distribuição e a organização social do bote-cinza na Baía Norte.

Keywords: marine tucuxi, Sotalia guianensis, bottlenose dolphin, Tursiops truncatus, distribution, ecology, interspecific interactions, southern Brazil.

Introduction

Although occurring in coastal waters sympatric to the bottlenose dolphin (Tursiops truncatus) throughout its range, the marine tucuxi (Sotalia guianensis) rarely interacts with the former species (da Silva and Best, 1994; 1996; Flores, 2002). Feeding associations were recorded in Baía de Guanabara (22º45’S, 43º10’W), southeastern Brazil (Andrade et al., 1987), and Baía de Guaratuba (25º51’S, 48º40’W), southern Brazil (Monteiro Filho et al., 1999). Apparent sexual and mating interactions were observed in Costa Rica (9º37’N, 82º37’W) (Acevedo-Gutierrez et al., 2005) while an aggression event from bottlenose dolphins toward a tucuxi was observed in southern Brazil (Wedekin et al., 2004). Additionally, there is no information on the distribution patterns and related environmental features, group size and composition and other ecological aspects compared for both species in the same area.

The marine tucuxi is found mostly in estuaries, bays and other protected shallow coastal waters in the Western Atlantic of South and Central America from southern Brazil (27º35’S, 48º35’W) to Nicaragua (14º35’N, 83º14’W), with possible records in Honduras (15º58’N, 79º54’W) (da Silva and Best, 1996; Flores, 2002). The bottlenose dolphin has a wide distribution in both coastal and offshore waters of all oceans and seas, with the exception of high latitudes (Wells and Scott, 1999). In Brazilian waters it probably occurs from the north/ northeastern to the south (Bastida et al., in press) notably in Santa Catarina and Rio Grande do Sul states where resident populations have been studied in estuaries and river systems (e.g. Dalla Rosa, 1999; Simões-Lopes and Fabian, 1999; Simões-Lopes et al., 1998).

1 Laboratório de Dinâmica Populacional, Instituto de Biodiversidade, Pontifícia Universidade Católica do Rio Grande do Sul, Porto Alegre, RS Brazil, and International Wildlife Coalition - Brazil.
2 Current address: Instituto de Pesquisa & Conservação de Golfinhos, Florianópolis, SC, Brazil and Centro Nacional de Pesquisa, Conservação e Manejo de Mamíferos Aquáticos - CMA, IBAMA AM. Rua Min. João Gonçalves de Souza, s/n, Distrito Industrial, Manaus, 69075-830 AM Brazil

* Corresponding author, e-mails: flores.plterra.com.br and paulo.flores@ibama.gov.br.
In Baía Norte, Santa Catarina state, southern Brazil, the marine tucuxi occurs year round and is seen almost on a daily basis, exhibiting a restricted distribution and strong site fidelity, with individuals being reported to be resident for up to at least 10 years (e.g. Flores, 1999; 2003; Flores and Bazzalo, 2004). Bottlenose dolphins are apparently more widely distributed in the region but is less frequent in Baía Norte itself (Flores, 2003). We compared data collected over a 10-year period on distribution, occurrence, group size and composition as well as environmental and ecological correlates of both species in Baía Norte.

Methods

Study area

Baía Norte and surrounding waters (27°23’ – 27°35’S, 48°33’ – 48°30’W), located on the southern Brazilian coast (Figure 1), are very shallow with depths usually less than 12m, except at the North channel (around 14m) and the strait connecting to Baía Sul (more than 25m). Only three species of small cetaceans have been sighted in the bay. The franciscana (Pontoporia blainvillei) was seen only three times over 10 years (Flores et al., 2000) while bottlenose dolphins are more frequently found (Flores, 2003). The marine tucuxi, however, is found year round almost on a daily basis and over long-term periods (> 10 years) in a resident, small, discrete population (e.g. Flores, 1999; Flores and Bazzalo, 2004).

Field procedures

Data were collected from boat surveys and included photo-identification, behavioral sampling and variable environmental measurements. Boats used were a 3m long rigid hull inflatable powered by a 15hp, two stroke, outboard engine during 1993-1995 and a 5m long rigid hull inflatable with either a 25hp, two stroke (1995-1999) or 30hp, four stroke, outboard engine from 1999 onwards. Photo-identification and boat based procedures including field effort for the tucuxi dolphin have been described elsewhere (Flores, 1999; 2003; Flores and Bazzalo, 2004). Although surveys were targeted at the marine tucuxi, whenever bottlenose dolphins were found the same methodology was applied. Because sea conditions may deteriorate in the afternoon, the time spent at the surveys ranged from 0:24 min. to 9:15 hours (mean = 4:37hs). This paper summarizes data on 226 surveys and over 1010hs of field effort in 1993-2002 (field effort combines survey effort and direct observation or focal sampling).

Two types of surveys were conducted: focal group survey and “random survey”. Neither survey followed an established route. During focal-group surveys the same animals are observed and usually photographed until the end of the survey. “Random surveys” are conducted when a survey is resumed to other zones after leaving a sighting. This may occur when: (i) it is concluded that most individuals were photographed, (ii) the initial 5 min. sampling is finished to increase the size of area surveyed afterwards, or (iii) weather or sea state conditions are poor or deteriorating as well as time is limited. In all three cases, weather and logistics allowing, a survey was resumed after a dolphin sighting to increase chances of having other sightings in the same survey. Once a group of dolphins was encountered, dolphin data were recorded at 5 min. intervals and environmental variables were measured at initial dolphin sighting locations. The recorded dolphin data included time of day, location of dolphins, number of individuals in the group, group composition (adult, juvenile, calf, female), behavioral activity, and birds in association during feeding behavior. The environmental variables measured at each sighting location were, whenever possible, water temperature at 1m depth, water transparency by Secchi disc and depth (with a hand-held depth sounder).
Locations of dolphins were determined by two different ways. Location was assigned a three letter code from the 18 zones defined by topographic references and easily identifiable from the boat (Flores, 1999) during 1993-2002 (Figures 1 and 2). Map plotting in nautical chart copies (nº 1903 of the Division of Hydrograph and Navigation, Brazilian Navy) and recording via GPS handheld device were additionally taken in 1996-2002. Boat and land-based opportunistic sightings were recorded similarly.

**Definitions**

The term ‘sighting’ was defined as the encounter with either a group or solitary animals during the course of a survey and thus represents the sampling unit. A group of dolphins refers to an aggregation of dolphins within visual range of the survey team and usually engaged in the same general activity/behavior pattern. A group may contain individuals of all age classes.

Group size was determined by direct counting of individuals and it was classified and divided into 6 classes or categories. Each one was defined as follows: class 1 – one to 5 individuals, class 2 – six to 10 individuals, class 3 – 11 to 20 individuals, class 4 – 21 to 40 individuals, class 5 – 41 to 60 individuals, and class 6 – 61 to 80 individuals. Whenever possible an accurate count was obtained during sightings of small group sizes (classes 1 to 3).

The following age classes were distinguished. Adults were defined as large individuals estimated to be approximately 2m long for marine tucuxis and 2.5-3m for bottlenose dolphins. The following applies to both species. A female was defined as any large animal with the continuous presence of a calf or a juvenile during at least five consecutive sightings. “Probable male” was defined as any large animal heavily scarred and with no calf in any sighting, comparable to what has been described for bottlenose dolphins by Smolker et al. (1992) and Tolley et al. (1995). However, it is recognized that this assumption is yet not proved for *Sotalia*. A calf was identified as an animal less than 2/3 the size of an associated larger animal and constantly accompanying one large individual presumed to be its mother. Newborns were distinguished by the presence of visible neonatal folds, usually darker color pattern in marine tucuxis, and a disproportionately large melon.

Seasons were defined as: Autumn, 21 March – 20 June; Winter, 21 June – 20 September; Spring, 21 September – 20 December; Summer, 21 December – 20 March.

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**Figure 2.** Sightings of marine tucuxi (*Sotalia*) (n = 173) and bottlenose (*Tursiops*) (n = 28) dolphins in Baía Norte, southern Brazil, during 170 boat surveys from February 1996 to December 2002. Solid squares represent bottlenose dolphin opportunistic sightings. Zones according to Flores (1999) and see also Figure 1.
Data analysis

The distribution of dolphins was quantified through a Sighting Index by Zone (SIZONE). This index represents the number of times a species was sighted in a given zone as a proportion of the total times it was sighted overall. It is calculated as SIZONE = S zone/S, where S zone is the times the dolphin was sighted in any one zone and S is the total number of times it was sighted in the study area. The Sighting Index by Zone, ranging from 0.0 to 1.0 was calculated for each year and the for the entire study period. A Sighting per Unit of Effort (SPUE) was also calculated as the number of sightings in every zone per hour of effort.

All sighting locations collected during 1996-2002 were entered in ArcView 3.1 and the distance from tucuxi to bottlenose sightings in a given day was measured as the straight line between each sighting avoiding any landmass. The package BioEstat 2.0 was used for statistical analysis. Dolphin locations (SIZONE) were correlated to survey effort using the Spearman rank correlation. SPUE were compared between species using the Mann-Whitney U test. Differences in the environmental correlates (water temperature and turbidity, depth) between the two dolphin species were evaluated using the Mann-Whitney U test. A Chi-Square test was used to verify difference in the environmental correlates among seasons. All tests were applied at a 5% significance level (Zar, 1999).

Results

Database

Marine tucuxis were found on all but six occasions and were recorded in multiple sightings in a single day on only eight days, totalling 228 tucuxi sightings. Only 36 sightings of bottlenose dolphins were obtained in 30 out of the 226 surveys, with up to four sightings on the same day. Concurrent sightings of both species were recorded on 19 surveys. Tucuxi were sighted between 6:30 to 15:00 and bottlenose dolphins from 7:45 to 17:40 local time.

Distribution and occurrence patterns

Marine tucuxis were highly restricted to the central-southern west sector of the bay, while the bottlenose dolphins were mostly found from the southern to the northern areas of the east sector with fewer sightings in central-northern west sector. Only bottlenose dolphins were recorded in opportunistic sightings in various places outside Baía Norte (Figure 2). Although seen in all years in Baía Norte, both species had different SPUE values (Figure 3) which were statistically significant (Mann-Whitney U, P = 0.0005).

Marine tucuxis occurred in eight zones and were consistently sighted in only two (zones EDC and BDA, SIZONE EDC = 0.465 and SIZONE BDA = 0.351) or a combined SIZONE = 0.816) out of the 18 zones surveyed (Figure 4). Bottlenose dolphins were sighted in 14 zones without any marked preference (SIZONE ranging from 0.167 in CIR to 0.028 in seven zones – Figure 4). There was no correlation between marine tucuxi SIZONE and survey effort per zone (Spearman rank correlation, r = 0.0244, P = 0.9543).

Marine tucuxis were found year round every month while bottlenose dolphins were not encountered in March, April and October (Figure 5A). Sightings of tucuxi were evenly distributed throughout the seasons while bottlenose dolphins were seen less frequently in summer (11.11%, n = 4 sightings) and more commonly in winter (38.89%, n = 14) than in spring (27.78%, n = 10) and autumn (22.22%, n = 8) (Figure 5B). However, no seasonal differences were found for sighting frequencies of bottlenose dolphins (Chi-Square, P = 0.123).

Figure 3. Distribution (Y-axes) of survey effort in hours (bars) and sighting per unit of effort (lines) by zones (X-axes) for the marine tucuxi (open circles) and bottlenose dolphin (solid squares) in Baía Norte, southern Brazil, during 1993-2002. Zones according to Flores (1999) and see also Figure 1.
Figure 4. Zone Sighting Index of marine tucuxi (solid bars) and bottlenose (open bars) dolphins in Baía Norte, southern Brazil, during 1993-2002. Zones according to Flores (1999) and see also Figure 1.

Figure 5. Distribution of sightings of marine tucuxi (solid bars) and bottlenose dolphins (open bars) by month (A) and by season (B) in Baía Norte, southern Brazil, during 1993-2002.
Environmental correlates

Marine tucuxis occurred in shallow (mean = 4.48 ± 0.08 m, SD = 0.95, range = 2.5-7.20 m, n = 138), turbid (mean = 88.1 ± 2.56 cm, SD = 26.95, range = 30-175 cm, n = 111), relatively warm (mean = 21.9 ± 0.28°C, SD = 3.57, range = 13.5-28°C, n = 157) waters.

Sightings of bottlenose dolphins occurred also in shallow waters (mean = 4.7 ± 0.37 m, SD = 1.91; range = 2-9.5 m, n = 26) but less turbid (mean = 111.5 ± 13.07 cm, SD = 50.62, range = 54-239 cm, n = 15) and relatively colder (mean = 20.8 ± 0.88°C, SD = 3.94; range = 15-27°C, n = 20), but none of these differences was significant (Mann-Whitney U test: water temperature P = 0.2641, depth P = 0.8961, transparency P = 0.118).

Group size and composition

Marine tucuxi group size was large (N = 60-80 individuals) and roughly the same size class (class 6) in most sightings (84.6%, N = 181 sightings) (Figure 6A).

Figure 6. A - Group size of marine tucuxi (solid bars) and bottlenose dolphins (open bars) in Baía Norte, southern Brazil, during 1993-2002. B - Group size classes: class 1 – one to 5 individuals, class 2 – six to ten individuals, class 3 – 11 to 20 individuals, class 4 – 21 to 40 individuals, class 5 – 41 to 60 individuals, and class 6 – 61 to 80 individuals.
The majority of bottlenose sightings (86.11%, $N = 31$ sightings) were of small group size (Figure 6B). Group size in bottlenose dolphins ranged from 1 to 16 individuals (mean $= 5.4 \pm 0.7$, SD $= 4.3$).

Marine tucuxi group size was constant throughout the seasons (ANOVA; $P = 0.670$), and while bottlenose dolphin small group size (1-5 individuals) occurred mostly in autumn, winter and spring, there was no seasonal difference in group size (ANOVA, $P = 0.301$).

Most of marine tucuxi sightings was composed of all age classes (95.5%, $N = 213$ sightings) with very low frequencies of groups containing adults and calves only (0.9%, $N = 2$), adults and juveniles (1.3%, $N = 3$) or lone adults (2.2%, $N = 5$). There was no seasonal variation in group composition.

In contrast, only 5.6% ($N = 2$) of bottlenose dolphin sightings were of all age classes, while 55.6% ($N = 20$) comprised only adults. Groups composed of adults and calves constituted 25% ($N = 9$) of all sightings whereas those of adults and juveniles corresponded to 13.9% ($N = 5$) of sighted groups.

**Interspecific interactions**

Concurrent sightings of both species were recorded on 19 days ($n = 24$ sightings). Mean distance between tucuxi and bottlenose sighting locations was 6.9km (SD $= 2.8km$, range $= 0.185$-15.1km).

Only twice were tucuxis and bottlenose dolphins seen near each other. In June 1999, a group of two adult bottlenose dolphins approached the area being used for feeding by the group of 60-80 tucuxis (zone EDC). When they were within 185m of the tucuxis (later measurement – see Methods), the bottlenose dolphins moved out of the area and crossed the bay. The species may then use the depth contour as a ‘indicator moving lane’ between core or important areas of feeding for example, as suggested by Flores (1999). The tucuxis were clearly found in and nearby the 3m-depth contour only at the western (mainland) sector of the bay. The species may then use the depth contour as a ‘indicator moving lane’ between core or important areas of feeding for example, as suggested by Flores and Bazzalo (2004). Bottlenose dolphins but not marine tucuxis have been observed feeding close to the coast. This apparent lack of marine tucuxi sightings off the Island also helps to corroborate the hypotheses of a very discrete, restricted distribution of marine tucuxi in Baía Norte.

Some of these results may be seen with caution due to the possible dependency of uneven distribution of field effort. However, it may seem unlikely due to the extensive field effort and further data on high levels of residency and site fidelity (Flores, 1999; 2003; Flores and Bazzalo, 2004).
In Baía Norte, marine tucuxis occurred in shallow waters similarly to what has been reported from other locations. Marine tucuxis rarely venture to waters deeper than 5m and are usually seen in areas shallower than 2m in the Kayos Miskito Reserve, Nicaragua (Edwards and Schell, 2001). However, in other areas marine tucuxis may preferentially be found in deeper waters such as in Guanabara Bay, where they occur in depths >5m and up to 23m, rarely in 1-3m (Andrade et al., 1987; Geise et al., 1999) but more recently found at 3.5-34m (Azevedo et al., in press). In the Cananéia estuarine system, tucuxi uses preferentially waters up to 23m deep (Santos, 2004).

Borobia et al. (1991) proposed that low sea-surface temperature might act as a geographical barrier and also contribute to the larger body size of the marine species compared to the riverine tucuxi (*Sotalia fluviatilis*). Water temperature did not affect marine tucuxi distribution in Baía Norte as dolphins were present in the same area throughout seasons, through a temperature range of 14.5°C.

Mean values of environmental variables measured here (water temperature, turbidity, depth) did not differ between areas of occurrence of marine tucuxi and bottlenose dolphins. Nevertheless, the former spent most if not all of the time in Baía Norte, while the latter were sighted only a few times. So, there might be differences in habitat preferences between the two species. Lack of differences in environmental conditions in the areas of occurrence of the two species within Baía Norte might be due to the similarity in the entire study area.

On the other hand, bottlenose dolphin group size and composition in Baía Norte is similar to other coastal areas (e.g. see review in Wells and Scott, 1999), including Brazilian waters. In the river and lagoon systems as well as in the nearby coastline off Laguna, southern Brazil, group size ranged from one to 10 individuals (Simões-Lopes, 1995) and in Patos Lagoon mean group size was 4.4 (SD = 2.44, n = 177) (Dalla Rosa, 1999).

Figure 7. Marine tucuxis photographs in Baía Norte, SC, southern Brazil: A) Adult apparently attacked by bottlenose dolphins; B) ‘Typical’ adult bearing tooth rakes from conspecifics. Photographs by Paulo A.C. Flores.
Groups containing all age classes are not common in marine tucuxis at least in the Cananéia region (Geise et al., 1999; Santos, 2004), and Babitonga Bay (Cremer, 2000). In Paraty and Guanabara Bays, southeastern Brazilian coast, however, groups with different age classes may be found more often although such are considered temporary feeding grouping (Azevedo et al., 2005; Lodi, 2003). Given that large groups (category 6) were the most frequent in Baía Norte it is very likely that all age classes are present in such groups. It is worth mentioning once again the probable influence of different definitions and methods applied in each study to the differences in group composition discussed here.

No mixed-group or positive interactions as reported by Acevedo-Gutierrez et al. (2005), were recorded between the marine tucuxi and the bottlenose dolphin on the only two occasions in which they were sighted near each other in Baía Norte. Also, in a previous land-based study with marine tucuxis in Baía Norte, no encounter was recorded in about 360hs of dolphins’ direct observations out of 430hs of effort in 1991-92 (Flores, 1992). It is likely then that aggression may represent the interaction pattern for these species during rare associations in Baía Norte. Factors leading to this suggestion include the high survey effort and focal group sampling (or observation time) of both species, the observation of tucuxi displacement by bottlenose presence and the probable bottlenose dolphin(s) attack on a large tucuxi suggested by the tooth scarring pattern in the latter (Figure 7A) reported here. The latter is combined with the anecdotal report of an aggression event reported by Wedekin et al. (2004). The tooth scarring in the adult marine tucuxi (Figure 7A) clearly resembles those shown from a captive male Sotalia attacked by bottlenose dolphins (Terry, 1984), adding further evidence of an attack by the latter.

The difference in bottlenose dolphin group size during the two events of close proximity to the marine tucuxi reported here may help to explain the different interaction patterns observed. Two animals may not form a strong enough unit to challenge and defeat a large group of an even smaller potential opponent. On the other hand, the larger group of 12 bottlenose dolphins could form such a powerful unit and then displace marine tucuxis right away. Other factors such as dolphin activities and individuals involved in the encounter are likely to play important role in the development of the interaction (e.g. Herzing and Johnson, 1997; Acevedo-Gutierrez et al., 2005).

The findings reported here indicate that in the study area mixed groups of bottlenose and marine tucuxi dolphins do not occur. They also suggest that bottlenose dolphins may behave aggressively toward marine tucuxis as it has been recorded for other species elsewhere. Aggression toward or dominance over humpback dolphins (Sousa chinensis) by bottlenose dolphins was recorded in Australia and South Africa (Saayman and Tayler, 1979; Corkeron, 1990). Bottlenose dolphins exhibited aggression to the Atlantic spotted dolphin Stenella frontalis in the Bahamas (Herzing and Johnson, 1997) and the harbor porpoise (Phocoena phocoena) in northern Scotland (Ross and Wilson, 1996). Extreme infanticide evidences by bottlenose dolphins on the latter led Patterson et al. (1998) to suggest that infanticide should also be considered another factor influencing behavior and social structure in cetaceans. There is no evidence of such infanticide in our study and more detailed investigation of dead tucuxis found in Baía Norte along with continued observation of free-ranging animals are needed to assess this and to what extent aggression is occurring.

The observed feeding on the same fish species by both marine tucuxis and bottlenose dolphins would indicate food competition. Stomach contents of few stranded animals of both species (n = 3 adults for each species) from the study area show they prey on white mullets (Emerin, 1994). Such diet overlap was also reported to the southeastern Brazilian coast through analysis of stranded or incidentally caught specimens both in the Atlantic cutlassfish (Santos et al., 2002) as well as in cephalopods (Santos and Haimovici, 2001). Such evaluation should be taken with caution, however, because of the shortcomings of evaluating cetacean feeding habits exclusively through stranded specimens as discussed by Barros and Odell (1990). In south-southeastern Brazil, the marine tucuxi feeds on a variety of prey belonging to various families (Sciaenidae, Eugraulidae, Trichiuridae and Mugilidae, among others) though it has a marked preference for demersal, Sciaenidae fishes (e.g. Santos and Haimovici, 2001; Santos et al., 2002). Such flexibility in feeding is also a feature in the bottlenose dolphin (Wells and Scott, 1999).

Some of these are also prey items of bottlenose dolphins in this area (e.g. Emerin, 1994; Santos and Haimovici, 2001, Santos et al., 2002). Most of these fishes such as the white mullet, the banded ground steward (Paralonchurus brasiliensis), the rake steward (Stellifer rastrifer), the Atlantic cutlassfish (Trichiurus lepturus) and the whitemouth croaker (Micropogonias furnieri) seem to be quite abundant and are captured by both artisanal and industrial fisheries in Baía Norte (e.g. CEPSUL – IBAMA 1998; UNIVALI 2001, 2002).

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Interspecies competition depend upon spatial and temporal overlap in distribution of the species, diet overlap in items consumed as well as scarcity or dispersed distribution of such prey items (e.g. Begon et al., 2006). Marine tucuxis and bottlenose dolphins apparently rarely meet but might prey on the same fish species. Food competition between these dolphins though apparently unlikely may stands at least as a possibility in this region, pending further investigation. Biological and ecological aspects such as differential habitat preferences, potential inter-specific aggression, predator avoidance and food competition might help to explain the parapatrical distribution of marine tucuxis and bottlenose dolphins in Baía Norte and should be investigated.

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